

03 03 2002
PATENT & TRADEMARK OFFICE

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/528,262
Filing Date	March 17, 2000
First Named Inventor	DenBaars
Art Unit	2815
Examiner Name	Baumeister, B. W.
Attorney Docket Number	P0149US-7

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on **RECEIVED**
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on **OCT 07 2002**
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

Technology Center 2600

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103 for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(f) required)
- b. ☐ Other

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, Deposit Account No. 11-1580
- i. ☒ RCE fee required under 37 CFR 1.17(e) \$740.00
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17) \$400.00
- iii. ☒ Other For the additional claims \$72.00
- b. ☒ Check in the amount of \$ 1,212.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	JAYE G. HEYBL	Registration No. (Attorney/Agent)	42,661
Signature	<i>Jaye G. Heybl</i>	Date	10/03/02

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	MARIANNE MIDDLETON	Date	10/03/02
Signature	<i>Marianne Middleton</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

10/07/2002 AW0HDAF1-00000064 09528262

01 FC:179
02 FC:103

740.00 OP
72.00 OP



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Docket No. P0149US-7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: DENBAARS et al.

Examiner: Baumeister, B.W.

Serial No. 09/528,262

Art Unit: 2815

Filing Date: March 17, 2000

For: MULTI ELEMENT, MULTI COLOR SOLID STATE LED/LASER

Assistant Commissioner for Patents

Box RCE

Washington, D.C. 20231

RCE AND AMENDMENT TRANSMITTAL

Sir:

Transmitted herewith is a Request for Continued Examination and amendment for this application. Applicant is a large entity.

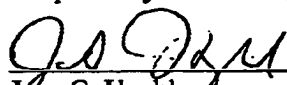
Fee for Claims

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee
TOTAL	45	41	4	18.00	72.00
INDEP.	4	4	0	84.00	<u>0.00</u>
					\$72.00

Our Check No.18962 in the amount of \$1,212.00 is enclosed. (\$72.00 for the claims, \$740.00 for the RCE, and \$400.00 for a two month extension). If any additional fee is required, charge Account No. 11-1580. A duplicate of this transmittal is attached.

Respectfully submitted,

October 3, 2002


Jaye G. Heybl
Registration No.42,661
Attorney for Applicant

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